

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re divisional patent application of 10/001,812 filed on December 5, 2001

Shigeru Yoneda

Serial No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filing Date: Concurrently Herewith

Examiner: Unknown

For: ARRAYED WAVEGUIDE GRATING, ARRAYED WAVEGUIDE GRATING  
MODULE, ARRAYED WAVEGUIDE GRATING MODULE WAVEGUIDE  
COMPENSATION METHOD, OPTICAL COMMUNICATION SYSTEM

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. All of these references were either cited or submitted in parent Application No. 10/001,812 and thus copies of these references are not provided in accordance with 37 C.F.R. §1.98(d).

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Rule 53(b) Divisional of Application No. 10/001,812  
filed December 5, 2001

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's

Deposit Account No. 50-0481.

Respectfully submitted,



Sean M. McGinn, Esq.  
Registration No. 34,386

Date: \_\_\_\_\_

9/26/03  
McGinn & Gibb, PLLC  
Intellectual Property Law  
8321 Old Courthouse Rd. Suite 200  
Vienna, VA 22182-3817  
(703) 761-4100  
Customer No. 21254



# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

2000P371434 DIV 2

Application Number

Not Yet Assigned

Applicant(s)

Shigeru Yoneda

Filing Date

Concurrently Herewith

Group Art Unit

Not Yet Assigned

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	10-303815	11/13/98	JAPAN			ABS	
	08-251105	09/27/96	JAPAN			ABS	
	11-014858	01/22/99	JAPAN			ABS	

## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

	Japanese Office Action dated June 30, 2003, with partial English translation.
	Year 2000 Electronics Information Academic Communication Society Conference B-10-76 p. 299.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Use several sheets if necessary)

**2000P371434 DIV 2**

**Not Yet Assigned**

**Shigeru Yoneda**

**Concurrently Herewith**

**Not Yet Assigned**

[illegible][illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	Year 2000 Electronics Information Academic Communication Society Conference B-10-78, p. 301.

DATE CONSIDERED

**SHEET 3 OF 3**